



WINOR



VERSION 1.



Jainam Broking Limited

Jainam House, New Passport Office Road, Piplod, Surat - 395007

Contact: +91 261 6725555, 2305555 E-mail: corp@jainam.in Web: www.jainam.in


Member: BSE, NSE, MCX, NCDEX, CDSL, MSEI

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SEBI Registration Details

Segment	Registration Number	Date
Stock Broking	INZ000198735	11th September 2018
Depository Participant	IN-DP-CDSL-223-2016	8th March 2016

Know Your Client (KYC) Application Form (For Individuals Only) <small>Please fill the form in ENGLISH and in BLOCK letters</small> <small>Fields marked * are mandatory</small> <small>Fields marked + are pertaining to CKYC and mandatory only if processing CKYC also</small>	<div style="text-align: right;">  Jainam Broking Limited MINOR </div> <div> Application Number: _____ Application Type*: <input type="checkbox"/> New KYC <input type="checkbox"/> Modification KYC </div>
KYC Mode*: Please Tick (✓) <input type="checkbox"/> Normal <input type="checkbox"/> EKYC OTP <input type="checkbox"/> EKYC Biometric <input type="checkbox"/> Online KYC <input type="checkbox"/> Offline EKYC <input type="checkbox"/> Digilocker	
1. Identity Details (please refer guidelines overleaf)	
<div style="display: flex; justify-content: space-between;"> <div> PAN* _____ <small>Please enclose a duly attested copy of your PAN Card</small> </div> <div style="border: 1px solid #ccc; width: 150px; height: 100px; margin-left: 20px; display: flex; align-items: center; justify-content: center;"> Recent passport size Applicant Photo </div> </div> <div style="margin-top: 10px;"> Name* (same as ID proof) _____ Maiden Name* (if any) _____ Fathers/Spouse's Name* _____ Date of Birth* _____ Gender* <input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Transgender Marital Status* <input type="checkbox"/> Single <input type="checkbox"/> Married Nationality* <input type="checkbox"/> Indian <input type="checkbox"/> Other _____ Residential Status* <input type="checkbox"/> Resident Individual <input type="checkbox"/> Non Resident Indian <small>Please Tick (✓)</small> <input type="checkbox"/> Foreign National <input type="checkbox"/> Person of Indian Origin+ <small>(Passport mandatory for NRIs and Foreign Nationals. PIO selection is only for CKYC and not for KRA KYC. Select NRI or Foreign National based on Nationality of the individual)</small> </div>	
Proof of Identity (POI) submitted for PAN exempted cases (Please tick)	
<div style="display: flex; justify-content: space-between;"> <div> <input type="checkbox"/> A — Aadhaar Card XXXX XXXX ____ <input type="checkbox"/> B — Passport Number _____ <input type="checkbox"/> C — Voter ID Card _____ <input type="checkbox"/> D — Driving License _____ <input type="checkbox"/> E — NREGA Job Card _____ <input type="checkbox"/> F — NPR _____ <input type="checkbox"/> Z — Others _____ (any document notified by Central Government) </div> <div style="text-align: right;"> (Expiry Date) _____ (Expiry Date) _____ </div> </div>	
2. Address Details* (please refer guidelines overleaf)	
A. Correspondence/ Local Address* Line 1* _____ Line 2 _____ Line 3 _____ <div style="display: flex; justify-content: space-between; margin-top: 5px;"> City/Town/Village* _____ District* _____ Pin Code* _____ </div> <div style="display: flex; justify-content: space-between; margin-top: 5px;"> State* _____ Country* _____ </div> <div style="margin-top: 5px;"> Address Type* <input type="checkbox"/> Residential/Business <input type="checkbox"/> Residential <input type="checkbox"/> Business <input type="checkbox"/> Registered Office <input type="checkbox"/> Unspecified </div>	
	Applicant e-SIGN

B. Permanent residence address of applicant, if different from above A / Overseas Address* (Mandatory for NRI Applicant)

Line 1*

Line 2

Line 3

City/

Town/Village*

District*

Pin Code*

State*

Country*

Address Type*

☐

Residential/Business

☐

Residential

☐

Business

☐

Registered Office

☐

Unspecified

Proof of Address* (attested copy of any 1 POA for correspondence and permanent address each to be submitted)☐

A — Aadhaar Card

XXXX XXXX _ _ _ _

☐

B — Passport Number

(Expiry Date)

☐

C — Voter ID Card

☐

D — Driving License

(Expiry Date)

☐

E — NREGA Job Card

☐

F — NPR Letter

☐

Z—Others

(any document notified by Central Government)

Identification Number

3. Contact Details (in CAPITAL)

Email ID*

Mobile No. *

Tel (off)

Tel (Res)

4. Applicant Declaration

I/We hereby declare that the KYC details furnished by me are true and correct to the best of my/our knowledge and belief and I/we under-take to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/We are aware that I/We may be held liable for it.

I/We hereby consent to receiving information from CVL KRA through SMS/Email on the above registered number/Email address.

I am/We are also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to sharing my/our masked Aadhaar card with readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with KRA and other Intermediaries with whom I have a business relationship for KYC purposes only.

DATE: _____ (DD-MM-YYYY)

PLACE: _____

Applicant e-SIGN

Applicant Wet Signature

5. For Office Use Only

In-Person Verification (IPV) carried out by*

Intermediary Details*

IPV Date

Emp. Name

Emp. Code


Emp. Designation

☐ Self certified document copies received (OVD)☐ True Copies of documents received (Attested)

AMC / Intermediary Name :

Employee Signature and Stamp

Institution Name and Stamp

Know Your Client (KYC) Application Form (For Individuals Only) <small>Please fill the form in ENGLISH and in BLOCK letters</small> <small>Fields marked * are mandatory</small> <small>Fields marked + are pertaining to CKYC and mandatory only if processing CKYC also</small>	<div style="text-align: right;">  Jainam Broking Limited GUARDIAN </div> <div> Application Number: _____ Application Type*: <input type="checkbox"/> New KYC <input type="checkbox"/> Modification KYC </div>
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Proof of Identity (POI) submitted for PAN exempted cases (Please tick)	
<div style="display: flex; justify-content: space-between;"> <div> <input type="checkbox"/> A — Aadhaar Card XXXX XXXX ____ <input type="checkbox"/> B — Passport Number _____ <input type="checkbox"/> C — Voter ID Card _____ <input type="checkbox"/> D — Driving License _____ <input type="checkbox"/> E — NREGA Job Card _____ <input type="checkbox"/> F — NPR _____ <input type="checkbox"/> Z — Others _____ (any document notified by Central Government) </div> <div> (Expiry Date) _____ (Expiry Date) _____ </div> </div>	
Identification Number _____	
2. Address Details* (please refer guidelines overleaf)	
A. Correspondence/ Local Address*	
Line 1* _____ Line 2 _____ Line 3 _____	
City/Town/Village* _____ District* _____ Pin Code* _____ State* _____ Country* _____	
Address Type* <input type="checkbox"/> Residential/Business <input type="checkbox"/> Residential <input type="checkbox"/> Business <input type="checkbox"/> Registered Office <input type="checkbox"/> Unspecified	
	<div style="background-color: #f2f2f2; padding: 5px; border: 1px solid #ccc;">Applicant e-SIGN</div>

B. Permanent residence address of applicant, if different from above A / Overseas Address* (Mandatory for NRI Applicant)

Line 1*

Line 2

Line 3

City/

Town/Village*

District*

Pin Code*

State*

Country*

Address Type*

☐

Residential/Business

☐

Residential

☐

Business

☐

Registered Office

☐

Unspecified

Proof of Address* (attested copy of any 1 POA for correspondence and permanent address each to be submitted)

<input type="checkbox"/> A — Aadhaar Card	XXXX XXXX _ _ _ _	
<input type="checkbox"/> B — Passport Number		(Expiry Date) _____
<input type="checkbox"/> C — Voter ID Card		
<input type="checkbox"/> D — Driving License		(Expiry Date) _____
<input type="checkbox"/> E — NREGA Job Card		
<input type="checkbox"/> F — NPR Letter		
<input type="checkbox"/> Z — Others		(any document notified by Central Government)
Identification Number		

3. Contact Details (in CAPITAL)

Email ID*

Mobile No. *

Tel (off)

Tel (Res)

4. Applicant Declaration

I/We hereby declare that the KYC details furnished by me are true and correct to the best of my/our knowledge and belief and I/we under-take to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/We are aware that I/We may be held liable for it.

I/We hereby consent to receiving information from CVL KRA through SMS/Email on the above registered number/Email address.

I am/We are also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to sharing my/our masked Aadhaar card with readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with KRA and other Intermediaries with whom I have a business relationship for KYC purposes only.

DATE: _____ (DD-MM-YYYY)

PLACE: _____

Applicant e-SIGN

Applicant Wet Signature

5. For Office Use Only

In-Person Verification (IPV) carried out by*

Intermediary Details*

IPV Date

Emp. Name

Emp. Code

Emp. Designation

☐ Self certified document copies received (OVD)☐ True Copies of documents received (Attested)

AMC / Intermediary Name :

Employee Signature and Stamp

Institution Name and Stamp

PART - B

Income Range (per annum) (Tick Whichever is Applicable)	<div style="display: flex; justify-content: space-between;"> <div> <input type="radio"/> Upto ₹ 1,00,000/- <input type="radio"/> ₹ 5,00,001/- to ₹ 10,00,000/- <input type="radio"/> ₹ 25,00,001/- to ₹ 100,00,000/- </div> <div> <input type="radio"/> ₹ 1,00,001/- to ₹ 5,00,000/- <input type="radio"/> ₹ 10,00,001/- to ₹ 25,00,000/- <input type="radio"/> Above ₹ 100,00,000/- </div> </div>
Networth (Not Older than 1 Month)	₹ as on

BANK DETAILS

Bank Name		Branch	
Address			
Account Type	<input type="radio"/> Saving <input type="radio"/> Current <input type="radio"/> Other	MICR Code (9 digit)	<div style="border-bottom: 1px solid black; width: 100px;"></div>
Account No.	IFSC Code (11 digit)	<div style="border-bottom: 1px solid black; width: 150px;"></div>	

EQUITY DEMAT DETAILS

No.	Def.	DP Name	DP ID / Client ID

COMMODITY DEMAT DETAILS

CP	CP Name	CP ID / Client ID
NERL		
COMRIS		

COMMUNICATION MODE

Any changes in e-mail ID need to be communicated to the broker through a physical letter

Contract Note / Margin Statement / Ledgers / Bills Delivery Mode	<input type="radio"/> Physical <input type="radio"/> Electronic
If Electronic mode, provide E-mail ID	As mentioned in KYC Basic Details Form

Number of years of Investment / Trading experience			
Are you	A Politically Exposed Person (PEP) ?	<input type="radio"/> Yes <input type="radio"/> No	
	Related to Politically Exposed Person (PEP) ?	<input type="radio"/> Yes <input type="radio"/> No	
Avail Internet Trading / Wireless Technology Facility		<input type="radio"/> Yes <input type="radio"/> No	
Purpose and intended nature of the business relationship (as per PMLA requirement)		<input type="radio"/> Investment <input type="radio"/> Trading <input type="radio"/> Hedging	
		<input type="radio"/> Speculation <input type="radio"/> Others _____	

(For ECN in Commodity Segment, please refer Voluntary Section)

UNIQUE MOBILE NUMBER & E-MAIL ID

Pursuant to SEBI circular bearing Ref.: CIR/MIRSD/15/2011 dated August 2, 2011, please note that the mobile number and e-mail ID mentioned by me in the KYC form belongs to my family member, details of which are as follows:									
	Relation of Family Member	PAN of Family Member							
Mobile Number:	Self / Father / Mother					P			
E-mail ID:	Self / Father / Mother					P			
However I have no objection whatsoever in you sending all the necessary information, intimations etc. related to my demat / trading account to this mobile number.									

NOMINATION DETAILS

(If yes, please fill the nomination form)

Nomination Required	<input type="radio"/> Yes <input type="radio"/> No	
---------------------	--	--

INTRODUCER / SUB BROKER DETAILS

Signature											
Status / Code	<input type="radio"/> Channel Partner <input type="radio"/> Employee <input type="radio"/> Trading <input type="radio"/> DP (12041500)										
Name	FIRST			MIDDLE				LAST			
Address											
<div></div> <div></div> <div></div>											
City						State				PIN	
Country	India	Mobile No.								E-Mail ID	

OTHER TRADING MEMBER / SUB BROKER DETAILS

(if registered with multiple members, provide details of all)

Name of Stock Broker / Sub - Broker			
Name of Exchange		Client Code	
Details of Disputes / dues pending from / to such Stock Broker / Sub-Broker:			

PAST ACTIONS

Details of any action / proceedings initiated / pending / taken by SEBI / RBI / IRDA / Stock Exchange / any other authority against the client in charge of dealing in Equities / F&O / Currency Derivatives / Commodity Derivatives for violation of laws / other economic offences during the last 3 years:
<div></div> <div></div> <div></div>

ANY OTHER INFORMATION

<div></div> <div></div> <div></div>

CLIENT CATEGORISATION

Commercial	<input type="radio"/> Bullion	<input type="radio"/> Base Metals	<input type="radio"/> Energy	<input type="radio"/> Agri-Commodities	<input type="radio"/> Not Applicable
Non Commercial	<input type="radio"/> Bullion	<input type="radio"/> Base Metals	<input type="radio"/> Energy	<input type="radio"/> Agri-Commodities	<input type="radio"/> Not Applicable

Note: With a view to understand the extent of involvement of the physical market participants and to identify the nature of participants in the Commodities Derivative Market, Members of the Exchange are required to Categorize the identified Clients under one of the following category:


Commercial Client includes Value Chain / Physical market participant / exporter / importer / having direct / indirect exposure to the underlying Commodity

Non Commercial Client includes Trader/ Arbitrageur

N.A.: Not Applicable (Client not dealing in the product)

CHOICE OF TRADING PLATFORM

(Please sign in the relevant boxes where you wish to trade. The segment not chosen should be struck off.)

Segment	Signature of Client
Equity / MF (BSE / NSE)	 5(a) / 19
Equity Derivatives (BSE / NSE)	 Restricted
Currency Derivatives (BSE / NSE)	
Commodity Derivatives* (BSE / NSE / MCX / NCDEX)	

If, in future, the client wants to trade on any new segment / exchange, separate authorization / letter shall be required.

If you do not wish to trade in any of segments/Mutual Fund, please mention here _____.

DECLARATION

I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.

I confirm having read / been explained and understood the contents of the document on 'Policy and Procedures' of the stock broker and the 'Tariff Sheet'.

I further confirm having read and understood the contents of the 'Rights and Obligations' document(s) and 'Risk Disclosure Document'. I do hereby agree to be bound by such provisions as outlined in these documents. I have also been informed that the standard set of documents has been displayed for information on stock broker's designated website (www.jainam.in).

Date:	D	D	-	M	M	-	2	0	Y	Y
Place:										



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(Authorized Signatory with Stamp)

NOTING FOR THE CLIENTS

Pursuant to SEBI Circular # SEBI/MRD/SE/Cir-42/2003 dated 10th November 2003, **Jainam Broking Limited** informs all its client that they shall be doing proprietary trading in addition to clientele business.

The information provided by the client through this form shall be the sole property of the trading member / brokerage house and would not be disclosed to anyone unless required by law or except with the express permission of the clients.

DIS OPTION (Please select any one)

- ☐ **Option 1:** I / We require you to issue Delivery Instruction Slip (DIS) booklet to me / us immediately on opening of my / our CDSL account through I / We have issued a Power of Attorney (POA) / registered for eDIS in favour of / with **Jainam Broking Limited** (Clearing Member) for executing delivery instruction for settling stock exchange trades (settlement related transactions) effected through such Power of Attorney holder - Clearing Member for executing delivery instructions through eDIS.



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(Authorized Signatory with Stamp)


- ☐ **Option 2:** I / We do not require the Delivery Instruction Slip (DIS) booklet for the time being, since I / We have issued a POA / registered for eDIS in favour of **Jainam Broking Limited** (Clearing Member) for executing delivery instructions for settling stock exchange trades (settlement related transactions) effected through such Power of Attorney Holder - Clearing Member or for executing delivery instructions through eDIS. However, the Deliver Instruction Slip (DIS) booklet should be issued to me / us immediately on my / our request at any later date.



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(Authorized Signatory with Stamp)

TARIFF SHEET (TRADING)

Segment	Intra Day				Delivery / Positional	
	1st Side %	Min	2nd Side %	Min	Slab %	Min
Equity Cash						
Equity Derivatives (Futures)						
Equity Derivatives (Options)						
Currency Derivatives (Futures)						
Currency Derivatives (Options)						

OTHER CHARGES

One time Account Opening Charge (amount not exceeding ` 500/-) shall be charged

The above charges are exclusive of statutory levies like GST, Stamp Duty, Securities / Commodity Transaction Tax, Exchange Transaction Charges, SEBI Turnover Fees & Clearing Charges which shall be charged additionally.

Scripwise Delivery Handling Charges on Sale Transactions (amount not exceeding ` 5/- per scrip) shall be levied.

Minimum Contract Charges (amount not exceeding ` 25/-) (inclusive of brokerage only) per contract shall be levied.

Demat Charges per scrip shall be levied for transfer of securities from / to Broker's Beneficiary / Margin Account.

If you have opted for electronic mode of communication, any type of physical or hand copies of contract notes, ledger, holding statements etc. (amount not exceeding ` 5/- per page) in addition to courier charged shall be charged additionally.

All bills (segment wise) shall be rounded off to the nearest rupee.

I hereby agree the above mentioned charges that shall be levied on the trades executed in my account.



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We undertake that we have made the client aware of '**Policy and Procedures**', '**Tariff Sheet**' and all the non-mandatory documents. We have also made the client aware of '**Rights and Obligations**' document(s), '**Risk Disclosure Documents**' and '**Guidance Note**'. We have given/sent him a copy of all the KYC documents. We undertake that any change in the '**Policy and Procedures**' and / or '**Tariff Sheet**' and / or all the non-mandatory documents would be duly intimated to the clients. We also undertake that any change in the '**Rights and Obligations**' and '**Risk Disclosure Documents**' would be made available on our website (www.jainam.in) for the information of the clients.

Date:

D	D	-	M	M	-	2	0	Y	Y
---	---	---	---	---	---	---	---	---	---

Place:

Surat



For **Jainam Broking Limited**

(Authorized Signatory)

INVESTOR'S GRIEVANCE CONTACT DETAILS*Details of Compliance Officer & CEO*

Name	Designation	Contact No.	E-mail ID
Mr. Om Prakash Singh	Compliance Officer	+91 - 261 - 6725545	omprakash.singh@jainam.in

*For any grievance / dispute, please contact us at the registered / corporate office address of the company or e-mail on **customercare@jainam.in** or call on **91-261-6725555 / 2305555***

In case not satisfied with the response, please contact the concerned stock exchange as detailed below.

Exchange	Tel	E-mail ID
BSE	+91-22-22728097	is@bseindia.com
NSE	+91-22-26598190	ignse@nse.co.in
MSEI	+91-22-67318933 / 67319000	investorcomplaints@msei.com
MCX	+91-22-67318888	grievance@mcxindia.com
NCDEX	+91-22-66406789	askus@ncdex.com



Demat Section

TYPE OF ACCOUNT

(Please tick whichever is applicable)

Status	Sub-Status		
Individual	<input checked="" type="checkbox"/> Individual Resident	<input type="checkbox"/> Individual Promoter	<input type="checkbox"/> Individual Director
	<input type="checkbox"/> Individual Director's Relative	<input type="checkbox"/> Individual Margin Trading A/c (MANTRA)	

I instruct the DP to receive each & every credit in my / our account (Automatic Credit)	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
Do you wish to receive dividend / interest directly into your bank account given above through ECS	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
Account Statement Requirement	<input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly <input checked="" type="checkbox"/> As per SEBI Regulations	
Transaction-cum-Holding Statement / Ledgers / Bills may be mailed to Sole / First Holder's E-mail ID stated in the Account Opening Form	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

(If not marked, the default option would be 'No')

I would like to instruct the DP to accept all the re-pledge instructions in my / our account without any other further instruction from my / our end.	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
I would like to share the E-mail ID with the RTA	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

(If not marked, the default option would be in Physical)

I would like to receive the Annual Report	<input checked="" type="checkbox"/> Electronic <input type="checkbox"/> Physical <input type="checkbox"/> Both Physical & Electronic
---	---

SMS ALERT FACILITY

(Refer to Terms & Conditions - Annexure 2.4)

I wish to avail the SMS alert facility	(Mandatory, if you are giving Power of Attorney (POA))	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
Mobile	As mentioned in KYC Basic Details Form		

TRANSACTIONS USING SECURED TEXTING FACILITY (TRUST)

(Refer to Terms & Conditions - Annexure 2.6)

I wish to avail the TRUST facility using the Mobile number registered for SMS Alert Facility. I have read and understood the Terms and Conditions prescribed by CDSL for the same	<input type="checkbox"/> Yes	<input type="checkbox"/> No
---	------------------------------	-----------------------------

If Yes, please register the following Clearing Member ID's under my / our below mentioned BO ID registered for TRUST		
Stock Exchange Name / ID	Clearing Member Name	Clearing Member ID (Optional)

Easi Facility (To register for easi, please visit CDSL website www.cdslindia.com or contact your DP for details)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
---	------------------------------	--

NOMINATION DETAILS

(If yes, please fill the multiple nomination form)

Multiple Nomination facility required	<input type="checkbox"/> Yes	<input type="checkbox"/> No
---------------------------------------	------------------------------	-----------------------------

DECLARATION FORM FOR OPTING OUT OF NOMINATION

I / We hereby confirm that I / We do not wish to appoint any nominee(s) in my / our trading / demat account and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our trading / demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the trading / demat account.



(Authorized Signatory with Stamp)

I REQUEST TO ADD FOLLOWING UNIQUE CLIENT CODE (UCC)

Unique Client Code (UCC)	Exchange ID	Segment ID	CM ID	TM ID

DP TARIFF SHEET

Services		Scheme Name				
		<input type="radio"/> Investor	<input type="radio"/> Trader	<input type="radio"/> Lifetime	<input type="radio"/> Deposit	<input type="radio"/> IPO
						<input type="radio"/> BSDA
Deposit		-	-	-	₹ 2000/-	-
One time Maintenance Charges		-	-	₹ 900/-	-	-
Annual Maintenance Charges		₹ 200/-	₹ 600/-	-	-	-
Receipts (Market & Off Market)		Nil				
Delivery (Debit) per transaction	To Jainam Pool with Auto Payin	₹ 10/-	Nil	₹ 10/-	₹ 10/-	₹ 25/-
	To Jainam Pool without Auto Payin	₹ 12/-				₹ 25/-
	To Other Pool & Off Market	0.01% Min. ₹ 15/-				
Pledge Creation, Closure & Invocation		₹ 25/- per request				
Dematerialization		₹ 100/- per DRF & ₹ 10/- per certificate				
Rematerialization		As per CDSL Actuals				
Margin Pledge Creation & Unpledge Request		As per CDSL Actuals				
Pledge Creation & Unpledge for MTF		As per CDSL Actuals				

The above charges are exclusive of GST and other levies levied by Government bodies / statutory authorities from time to time.

The above charges are based on existing CDSL charges and is subject to change at the sole discretion of Jainam Broking Limited with intimation to client of not less than 30 days.

Deposit shall be interest free & refundable on the closure of account (minimum period of 1 year.)

Value of the transaction will be in accordance with the rates provided by CDSL.

All charges (except AMC) will be payable monthly. Charges quoted above are for the services listed. Any service not quoted above will be charges separately.

All documents like holding, transaction, ledger statements etc. shall be electronically mailed to the e-mail ID of the client. Any copy in physical form shall be charged extra per page in addition to the postal charges.

All instruction for the transfer must be received at Corporate Office (Surat) at least 24 hours before the execution date.

DECLARATION

I / We have received and read the Rights and Obligations documents and Terms & Conditions and agree to abide by and be bound by the same and by the Bye-laws as are in force from time to time. I / We declare that the particulars given by us above are true and to the best of our knowledge as on the date of making this application. I / We agree and undertake to intimate the DP any change(s) in the details / particulars mentioned by us in this form. I / We further agree that any false / misleading information given by us or suppression of any material information will render our account liable for termination and suitable action.

(Authorized Signatory with Stamp)

DEMAT DEBIT AND PLEDGE INSTRUCTION

(Minor)



Jainam Broking Limited

Jainam House, New Passport Office Road, Piplod, Surat - 395007

Contact: +91 261 6725555, 2305555 E-mail: corp@jainam.in Web: www.jainam.in

Member: BSE, NSE, MCX, NCDEX, CDSL



Stock Holding Corporation of India Limited

Registered office : 301, Centre Point, Dr. Babasaheb Ambedkar Road, Parel, Mumbai – 400012

CIN : U67190MH1986PLC040506 Visit us at : www.shcilestamp.com

VER 5.1 130315

e-Stamping Application Form

Application Date	/ /20	<input checked="" type="checkbox"/> Tick any one		<input type="checkbox"/> Registerable		<input checked="" type="checkbox"/> Non-Registerable	
Document Description	Power of Attorney	Stamp Duty Amount ₹10/-	Document Description	Demat Debit and Pledge Instruction	Stamp Duty Amount ` 10/-		

Property Description (not exceeding 100 characters)

Power of Attorney in favour of Jainam Broking Limited							
				Consideration of Property	₹ NIL		

First Party Details (name not exceeding 50 characters)

Name	Jainam Broking Limited																		
Address	Shop No. 5/222/G4, Khatiba Shopping Center, Near DMC Market, Moti Daman.																		
Phone	+91-261-2305555	PAN	A	A	B	C	J	3	9	1	8	N	PIN	3	9	6	2	2	0

Second Party Details (name not exceeding 50 characters)

Name																			
Address																			
Phone		PAN											PIN						

Stamp Duty Payment Details (name not exceeding 50 characters)

Stamp Duty Purchased by	Jainam Broking Limited																
Stamp Duty Paid by (✓ Tick)	<input checked="" type="checkbox"/> 1st Party <input type="checkbox"/> 2nd Party		Type of Payment	<input type="checkbox"/> Cash <input type="checkbox"/> Cheque <input type="checkbox"/> DD <input type="checkbox"/> Pay-Order <input type="checkbox"/> NEFT <input type="checkbox"/> RTGS <input checked="" type="checkbox"/> Account to Account Transfer													
Stamp Duty Paid by - Gender (✓ Tick)	<input type="checkbox"/> Male <input type="checkbox"/> Female		<input type="checkbox"/> Jointly paid by Male & Female												<input checked="" type="checkbox"/> Others		
Cheque / DD / Pay-Order / NEFT / RTGS / Account Details										Cash Deposit							
Bank Name	Branch Name	Cheque / DD / PO / UTR / REF / Account No.		Deno.	Pieces	₹											
				2000	X												
				500	X												
				100	X												
				50	X												
				20	X												
				10	X												
				5	X												
Rupees (in words):			2	X													
			1	X													
			Total														

- Please submit the duly filled and signed form along with stamp duty amount at the e-Stamping counter
- Stamp Duty amount should be rounded off to the nearest Rupee
- The correctness of Article type and Stamp Duty amount cannot be confirmed at the e-Stamping counter
- Once the e-Stamp is generated no modifications/changes are possible, so carefully check the preview of the e-Stamp and only then sign the preview
- Once the e-Stamp has been generated, payment cannot be cancelled or refunded by StockHolding. For cancellation you need to get in touch with the Competent Authority at the Stamp Office appointed by the State Government
- Cancellation charges are applicable as levied by the State Government

I have read and understood the above instructions and the Information given by me in this form is true to the best of my knowledge and belief.

Name of the Party/Representative:



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(Authorized Signatory with Stamp)

(For Office use only)

I verify that the Application Form is in order

To be filled by USER

To be filled by SUPERVISOR

SUBIN		Certificate Number	IN	
Signature		Signature		
Stamp Certificate received by	Name:	Signature:		

StockHolding e-Stamping

Receipt

(To be filled in by the client)

Stamp Duty Purchased By	Jainam Broking Limited		Stamp Duty Paid by	<input checked="" type="checkbox"/> 1st Party <input type="checkbox"/> 2nd Party	
Stamp Duty Amount	₹ 20/-	Type of Payment	<input type="checkbox"/> Cash <input type="checkbox"/> Cheque <input type="checkbox"/> DD <input type="checkbox"/> Pay-Order <input type="checkbox"/> NEFT <input type="checkbox"/> RTGS <input checked="" type="checkbox"/> Account to Account Transfer		
Cheque/ DD/ PO/ UTR/ REF/Account No.			Date: / /20		
Bank Name			Branch Name		
Counter Signature with Seal					

DEMAT DEBIT AND PLEDGE INSTRUCTION

Voluntary Requirement

To all to whom these presents shall come, I,


1) Mr. / Mrs. / Ms. _____ the Gaurdian of _____ having his / her residential address at _____
an Indian inhabitant send greetings from _____ on this _____ day of _____, 20_____.

WHEREAS


I / We hold a beneficiary account # 12041500 _____ with Central Depository Services (India) Limited., through its Depository Participant, **Jainam Broking Limited**, a corporate body incorporated under The Companies Act, 1956 and having DP-ID **041500** (hereinafter referred to as '**the Depository Participant**'). With SEBI under Registration No. **IN-DP-CDSL-223-2016**.

I / We am / are in investor engaged in buying and selling of securities through **Jainam Broking Limited**, a corporate body incorporated under The Companies Act, 1956 and having its registered / corporate office in Gujarat and processing office at Silvassa / Daman (hereinafter referred to as '**the stock broker**') having trading / clearing membership under various segments of **Bombay Stock Exchange Limited (BSE)**, **National Stock Exchange (NSE)**, **National Commodity and Derivatives Exchange (NCDEX)** and **Multi Commodity Exchange of India (MCX)** (hereinafter referred to as '**the Stock Exchanges / Exchanges**') who is a Stock Broker Registered with SEBI under registration no. **INZ000198735**.

1. Transfer of securities held in the beneficial owner accounts of the client towards Stock Exchange related deliveries / settlement obligations arising out of trades executed by clients on the Stock Exchange through the same stock broker

 _____ 12 / 19
(Signature and Stamp Guardian)

2. Pledging / re-pledging of securities in favour of Trading Member (TM) / Clearing Member (CM) for the purpose of meeting margin requirements of the clients in connection with the trades executed by the clients on the Stock Exchange.

 _____ 13 / 19
(Signature of Guardian)

3. Mutual Fund transactions being executed on Stock Exchange order entry platforms.

 _____ 14 / 19
(Signature of Guardian)

4. Tendering shares in open offers through Stock Exchange platforms.

 _____ 15 / 19
(Signature of Guardian)

I / We ratify the instructions given by the aforesaid stock broker to the depository participant named hereinabove in the manner specified herein. Further this Demat Debit and Pledge Instruction is executed subject to the terms that the stock broker shall return to me/us the securities that may have been received by them erroneously or those securities that the stock broker was not entitled to receive from me/us.

I / We hereby agree and declare that all acts and deeds done by the aforesaid stock broker under this authorization shall be construed as acts and deeds done by me / us jointly as well as severally and I/we further confirm and ratify all acts and deeds that the aforesaid stock broker shall lawfully do or cause to be done by virtue of this authorization. I / We further agree and confirm that the powers and authorities conferred by this Demat Debit and Pledge Instruction shall continue until it is revoked (without notice) in writing by me / us and that the said revocation shall be effective from the date on which the revocation notice is received by the stock broker at its Corporate Of fice.

SCHEDULE 'A' (DEMAT ACCOUNT DETAILS)

Particulars	DP ID	Client ID
NSDL BSE Pool A/C	IN645981	
CDSL BSE Principal A/C	12041500	00001941
NSDL NSE Pool A/C	IN548402	
CDSL NSE Principal A/C	12041500	00352801

Particulars	DP ID	Client ID
Margin Pledge (TM / CM CMPA)	12041500	01487841
Margin Funding	12041500	01487854
CDSL NSE Early Pay-in A/C	11000011	00018450
CDSL BSE Early Pay-in A/C	11000010	00015282

MINOR



Jainam Broking Limited

Jainam House, New Passport Office Road, Piplod, Surat - 395007
Contact: +91 261 6725555, 2305555 E-mail: corp@jainam.in Web: www.jainam.in
Member: BSE, NSE, MCX, NCDEX, CDSL

VARIOUS AUTHORIZATIONS

(Voluntary)

To,
Jainam Broking Limited
Jainam House, New Passport Office Road,
Kargil Chowk, Piplod, Surat - 395007.

Date	D	D	-	M	M	-	2	0	Y	Y
------	---	---	---	---	---	---	---	---	---	---

Client ID	12041500
UCC	

Dear Sir/Madam,

RUNNING ACCOUNT AUTHORIZATION

We are having a trading account with you with client code as mentioned above. In order to facilitate smoother and faster operations, We hereby agree

- a) and authorize you to maintain a running account across all the segment of all the Exchanges instead of settlement to settlement clearance of dues to me.
- b) and instruct you that no cheques be issued to me towards any credit balance in my account, unless specifically demanded by me and only to the extent of amount demanded by me.
- c) and authorize you to withhold delivery due to me against any debit balance or pending sale in my account arising on account of margin or future obligations.
- d) for intra-exchange and/or inter-settlement transfer of funds and/or securities towards pay-in or pay-out of securities in the future or past settlements.
- e) and authorize you to transfer amount from '**Margin Ledger**' to '**MTM Ledger**' (routine billing and fund transaction ledger) and vice versa to fulfill margin and normal billing liability towards you in Cash Equity Derivatives, Currency Derivatives and Commodity Derivatives segment.
- f) to maintain such quantity of securities and such amount of cash credit balance as required by the applicable statutes, rules, regulations, procedures or as deemed necessary or advisable by you. If you consider it necessary for your own protection, you may demand to deposit additional cash or securities to your account prior to any applicable settlement date in order to assure due performance of my open contractual commitments. If I do not provide such additional cash or securities, you shall have the right to sell any or all securities, but any or all relevant securities which may be short in my account, cancel any or all open orders and/or close any or all of my outstanding contracts.



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(Authorized Signatory with Stamp)

SETTLEMENT OF FUNDS

However with reference to SEBI circular bearing Ref: MIRSD/SE/Cir-19/2009 dated Dec 03, 2009 & various circulars issued by respective Exchanges thereafter regarding quarterly/monthly settlement, I request you to kindly note my preference for actual settlement of funds as follows.

Settlement of Funds

☐ Monthly

☐ Quarterly



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(Authorized Signatory with Stamp)

VARIOUS AUTHORIZATIONS

(Voluntary)

Kindly strike off any of the clauses, You do not wish to sign.

TELEPHONIC INSTRUCTIONS/VOICE LOGGER

As regards to placement of orders, although you have insisted on written instruction for placing / modifying / cancelling orders, considering the practical difficulties faced in complying the same, I hereby agree to telephonic instruction for order placing or order modification or order cancellation as a written request and give all the confirmations on telephone unless instructed otherwise in writing.

Further I am aware that for all such telephonic instructions between us, you have installed or may install in future voice logging systems on the telephonic lines and you and you may record our telephonic instruction/conversations & I have or shall have no objection whatsoever in you using the said recordings to ascertain any claims or settle the disputes arising between us in any arbitration or legal proceedings and all such recordings shall be bound to both of us.

SMS FACILITY

I am aware that you, at your sole discretion, may send a SMS on my registered mobile (as mentioned in the KYC form) related to daily trade confirmations, ledger balances, margin calls or any other transactional/informative message. On receipt of such SMS, it shall be my responsibility to intimate you in writing of any discrepancies in the same.

I am also aware that you may charge a fee (amount not exceeding ₹ 25/- per month) for availing this facility & I authorize you to debit the same to my ledger from time to time.

Further if there is any change in my mobile number, it shall be my responsibility to intimate the said changes to you in writing & you shall make the necessary amendments within 48 hours of receipt of such intimation.

LETTER OF AUTHORITY

I hereby appoint the following persons as mentioned below as my authorized representative to operate my above mentioned trading account on my behalf and *Jainam Broking Limited* is authorized to follow his / her instructions regarding my above mentioned trading account for the following purpose

To place orders on my behalf and to receive confirmations on my behalf for order placed by him / her in the said account.

To receive / collect contracts, bills and any other relevant documents on my behalf in the said account and take / handover all the deliveries of shares purchased / sold by or on behalf of me.

Sr. No.	Name of Authorized Person	Specimen Signature of Authorized Person
1		
2		

DEMAT CHARGES AUTHORIZATION

I am having a trading account with you as mentioned above for dealing in shares & securities. I hereby authorize you to kindly debit my aforesaid trading account for various charges due to you pursuant to the services rendered against the following demat account(s).

No.	Demat Account No.	No.	Demat Account No.
1	12041500 <input type="text"/>	2	12041500 <input type="text"/>

INTERNET / MOBILE BASE APPLICATIONS

I would like to avail the various internet/mobile based trading & backoffice softwares offered by you.

I am fully aware that you may charge a fee (amount not exceeding ₹ 5/- per order / trade executed) for the trades initiated through such applications & also levy a monthly charge for accessing these softwares (amount not exceeding ₹ 500/- per annum) & I hereby authorize you to kindly debit these charges to my ledger account from time to time.

VARIOUS AUTHORIZATIONS

(Voluntary)

Kindly strike off any of the clauses, You do not wish to sign.

REVOCATION OF AUTHORIZATIONS

We are fully aware that any of the aforesaid authorizations shall continue till it is revoked (without notice period) in writing by us & the said revocation shall be effective from the date on which the revocation notice is received by you at your corporate office.



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(Authorized Signatory with Stamp)

OPTING-OUT OF BSDA FACILITIES

This is to inform you that I / We am / are aware about SEBI circular bearing Ref: CIR/MRD/DP/22/2012 dated 27th August 2012, where in a small investor can avail the BSDA facilities as mentioned therein.

However I / We do not intend to avail any such facility & although my / our demat account may fall under BSDA category now or in future, I/we do not wish to avail the said facilities.

Kindly consider this as my/our one time standing instruction for not availing the BSDA facility & in case I / We wish to avail the said facility in future, I / We shall intimate the same to you in writing.



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(Authorized Signatory with Stamp)

ACKNOWLEDGMENT OF KYC DOCUMENTS

Date	D	D		M	M	-	2	0	Y	Y
------	---	---	--	---	---	---	---	---	---	---

To,
Jainam Broking Limited
Jainam House, New Passport Office Road,
Kargil Chowk, Piplod, Surat - 395007.

Dear Sir/Madam,

Sub : Acknowledgement of KYC Documents

Ref : UCC # _____ Client ID : 12041500 _____

I/We hereby acknowledge receipt of the following documents

- ☐ Photostat Copy of Client Registration Form (Part-A & Part-B)
- ☐ Tariff Sheet
- ☐ Rights & Obligations
- ☐ Risk Disclosure Documents (RDD)
- ☐ Guidance Note (Do's & Don'ts)
- ☐ Company's Internal Policies & Procedures
- ☐ Photostat Copy of DP Account Opening Form
- ☐ Photostat Copy of Multiple Nomination Form
- ☐ Rights & Obligations of DP & BO
- ☐ SMART - Terms & Conditions (Annexure 2.4)
- ☐ TRUST - Terms & Conditions (Annexure 2.6)
- ☐ Photostat Copy of POA Agreement
- ☐ Photostat Copy of the Voluntary Declaration
 - ☐ Electronic Contract Note (ECN) - Declaration
 - ☐ Running Account Authorization / Settlement of Funds & Securities
 - ☐ Telephonic Instruction / Voice Logger
 - ☐ SMS Facility
 - ☐ Authority Letter
 - ☐ Demat Charges Authorization
 - ☐ Internet / Mobile Base Applications
 - ☐ Opting-Out of BSDA Facilities



(Authorized Signatory with Stamp)

Most Important Terms and Conditions (MITC)

1. Your trading account has a “Unique Client Code” (UCC), different from your demat account number.
2. Do not allow anyone [including Jainam Broking Limited (herein referred to as “Jainam”), our representatives and dealers] to trade in your trading account on their own without taking specific instruction from you for your trades.
3. Do not share your internet/ mobile trading login credentials with anyone else.
4. You are required to place collaterals as margins with Jainam Broking Limited before you trade. The collateral can either be in the form of funds transfer into specified Jainam Broking Limited – client bank accounts or margin pledge of securities from your demat account. Details of the client bank accounts are listed on our website. Please do not transfer funds into any other account. We will not accept any cash from you.
5. Jainam’s Risk Management Policy provides details about how the trading limits will be given to you, and the tariff sheet provides the details of charges levied on you.
6. All securities purchased by you will be transferred to your demat account within one working day of the payout. In case of securities purchased but not fully paid by you, then the said securities will be transferred to limited period pledge i.e. seven trading days after the pay-out (CUSPA pledge) created in favour of Jainam Broking Limited. You can view your demat account balances directly at our website after creating a login.
7. We will deposit all the funds received from you with our designated Clearing Corporations duly allocated in your name. It is also mandated to return excess of our funds available with us as per applicable norms at the time of quarterly/ monthly settlement.
8. You will get a contract note from Jainam Broking Limited within 24 hours of the trade.
9. You may give a one-time Demat Debit and Pledge Instruction (DDPI) authority to Jainam Broking Limited for limited access to your demat account, including transfer of securities, which are sold in your account for pay-in.
10. Jainam is expected to know your financial status and monitor your accounts accordingly. Hence, you are requested to share all the financial information (e.g. income, networth, etc.) with us as and when requested for. Kindly also keep your email Id and mobile phone details updated with Jainam Broking Limited at all the time.
11. In case of any disputes with Jainam Broking Limited, you can raise a grievance on the dedicated investor grievance ID (i.e. grievance@jainam.in) of Jainam Broking Limited. You can also approach the stock exchanges and/or SEBI directly.
12. Any assured/guaranteed/fixed returns schemes or any other schemes of similar nature are prohibited by law. You will not have any protection/recourse from SEBI/stock exchanges for participation in such schemes.

Client Signature (For Non-Individual clients, sign of authorised signatory with stamp is required)



(Authorized Signatory with Stamp)